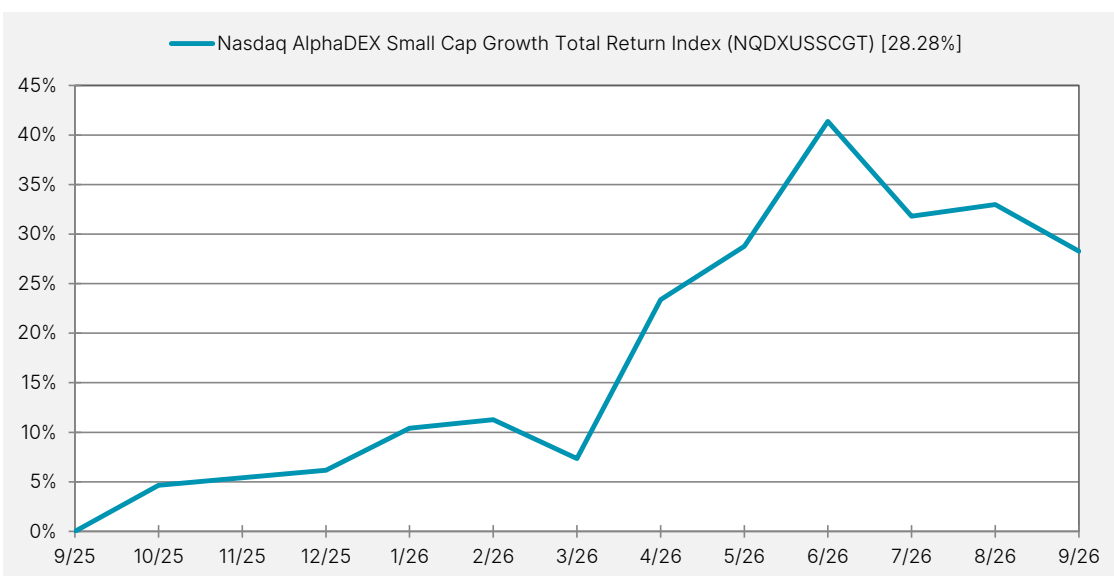


## Nasdaq AlphaDEX Small Cap Growth Index

The Nasdaq AlphaDEX® US Index Family employs the AlphaDEX® stock selection methodology to select stocks from the Nasdaq US Select Index Family and the Nasdaq US Select Style Index Family. The Nasdaq AlphaDEX® US Index Family is constructed by ranking the stocks in the Index families mentioned above separately on growth and value factors.

More information about the Index can be found at <https://indexes.nasdaq.com/Index/Overview/NQDXUSSCG>

### 1-YEAR PERFORMANCE



### QUICK FACTS

**Securities:** 261  
**Currency:** USD  
**History:** 03/30/2001  
**YTD Return:** 20.82%

### INDEX TICKERS

**Price Return:** NQDXUSSCG  
**Total Return:** NQDXUSSCGT  
**Net Total Return:** NQDXUSSCGN

### TOP 10 COMPONENTS BY WEIGHT

| TICKER | NAME                 | WEIGHT |
|--------|----------------------|--------|
| TXG    | 10X GENOMICS CMN A   | 1.32%  |
| TWST   | TWIST BIOSCIENCE CM  | 1.32%  |
| RNG    | RINGCENTRAL INC.     | 1.07%  |
| PGEN   | PRECIGEN INC. CMN    | 1.05%  |
| AAMI   | ACADIAN ASSET MANAGE | 0.90%  |
| NEO    | NEOGENOMICS INC      | 0.88%  |
| HNGE   | HINGE HEALTH INC.    | 0.82%  |
| CHEF   | THE CHEFS WAREHOUSE  | 0.82%  |
| CLMT   | CALUMET INC COMM ST  | 0.82%  |
| AVAH   | AVEANNA HEALTHCAR CM | 0.81%  |

### INDUSTRY BREAKDOWN

| INDUSTRY               | WEIGHT | SECURITIES |
|------------------------|--------|------------|
| Technology             | 13.45% | 34         |
| Telecommunications     | 1.42%  | 4          |
| Health Care            | 29.00% | 67         |
| Financials             | 10.98% | 31         |
| Real Estate            | 4.68%  | 17         |
| Consumer Discretionary | 14.74% | 38         |
| Consumer Staples       | 2.89%  | 10         |
| Industrials            | 15.53% | 39         |
| Basic Materials        | 2.09%  | 6          |
| Energy                 | 4.79%  | 13         |
| Utilities              | 0.42%  | 2          |

All Information as of 09/30/2026.

PERFORMANCE(TR Version)

| ANNUAL  |         |
|---------|---------|
| 1 Year  | 28.28%  |
| 2 Year  | 23.39%  |
| 3 Year  | 33.65%  |
| 4 Year  | 5.97%   |
| 5 Year  | -23.68% |
| 6 Year  | 52.79%  |
| 7 Year  | 8.91%   |
| 8 Year  | -15.23% |
| 9 Year  | 28.06%  |
| 10 Year | 23.05%  |

| CUMULATIVE |         |
|------------|---------|
| 1 Year     | 28.28%  |
| 2 Year     | 58.28%  |
| 3 Year     | 111.54% |
| 4 Year     | 124.16% |
| 5 Year     | 71.08%  |
| 6 Year     | 161.39% |
| 7 Year     | 184.68% |
| 8 Year     | 141.33% |
| 9 Year     | 209.05% |
| 10 Year    | 280.28% |

| CUMULATIVE ANNUALIZED |        |
|-----------------------|--------|
| 1 Year                | 28.28% |
| 2 Year                | 25.81% |
| 3 Year                | 28.37% |
| 4 Year                | 22.36% |
| 5 Year                | 11.34% |
| 6 Year                | 17.37% |
| 7 Year                | 16.12% |
| 8 Year                | 11.64% |
| 9 Year                | 13.36% |
| 10 Year               | 14.29% |

ANNUALIZED VOLATILITY(TR Version)

| ANNUAL  |        |
|---------|--------|
| 1 Year  | 21.30% |
| 2 Year  | 24.32% |
| 3 Year  | 20.82% |
| 4 Year  | 21.41% |
| 5 Year  | 29.27% |
| 6 Year  | 24.64% |
| 7 Year  | 41.14% |
| 8 Year  | 22.19% |
| 9 Year  | 14.84% |
| 10 Year | 15.24% |

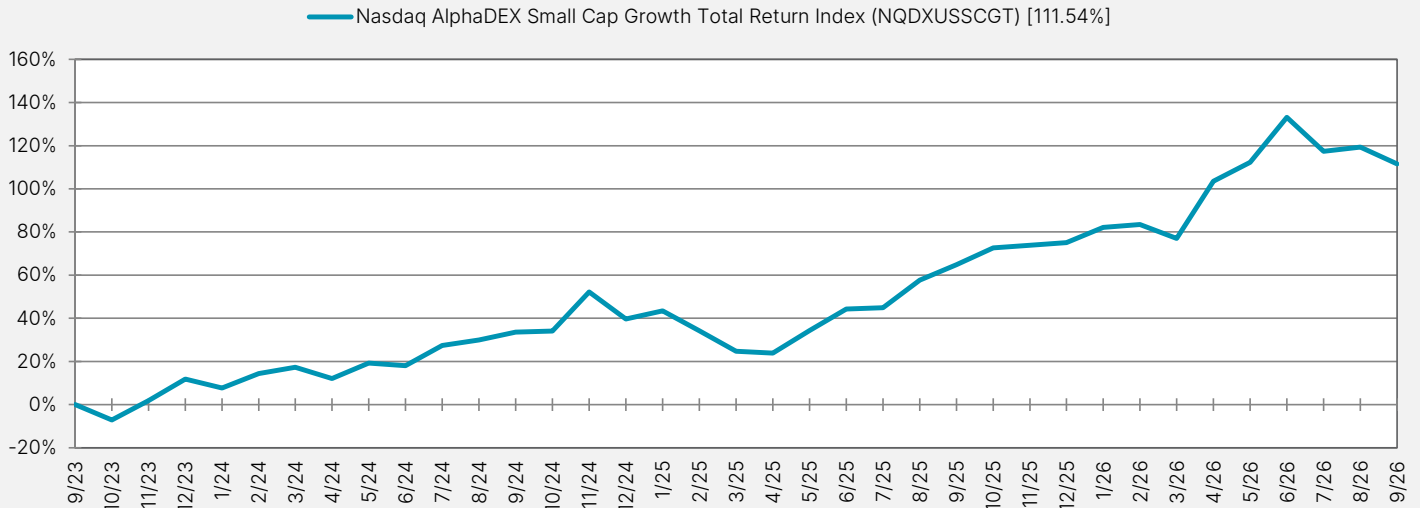
| CUMULATIVE |        |
|------------|--------|
| 1 Year     | 21.30% |
| 2 Year     | 22.84% |
| 3 Year     | 22.17% |
| 4 Year     | 21.98% |
| 5 Year     | 23.64% |
| 6 Year     | 23.81% |
| 7 Year     | 26.98% |
| 8 Year     | 26.44% |
| 9 Year     | 25.41% |
| 10 Year    | 24.58% |

PERFORMANCE(TR Version)

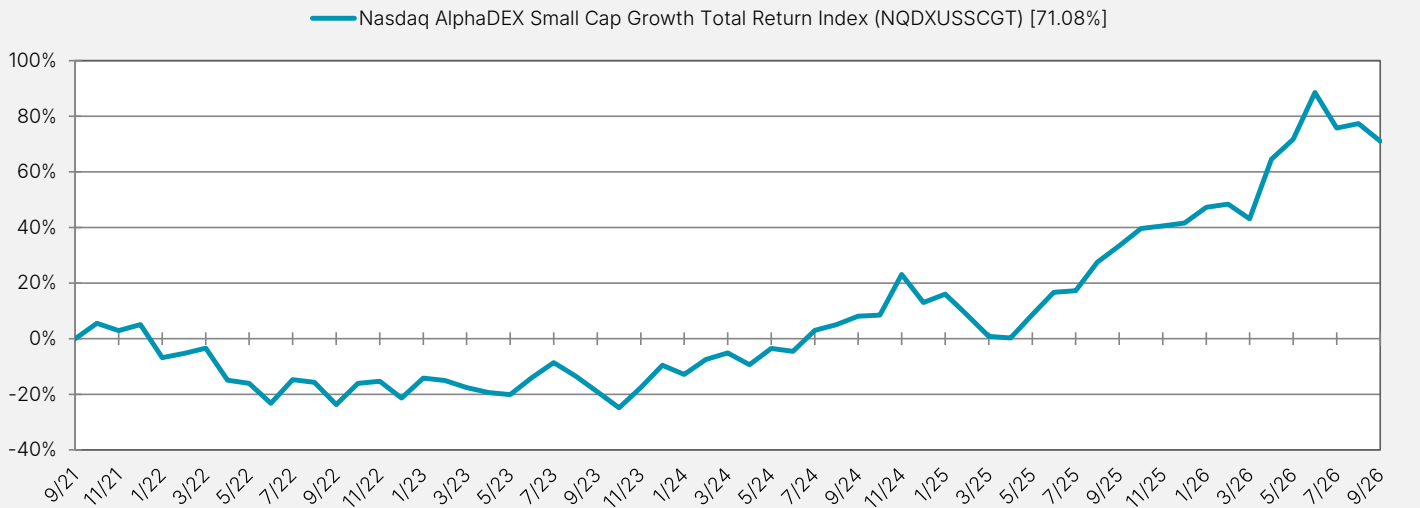
| CALENDER YEAR |         |
|---------------|---------|
| 2026          | 20.82%  |
| 2025          | 25.34%  |
| 2024          | 24.94%  |
| 2023          | 15.01%  |
| 2022          | -25.19% |
| 2021          | 22.67%  |
| 2020          | 33.24%  |
| 2019          | 17.69%  |
| 2018          | -4.94%  |
| 2017          | 24.20%  |

All Information as of 09/30/2026.

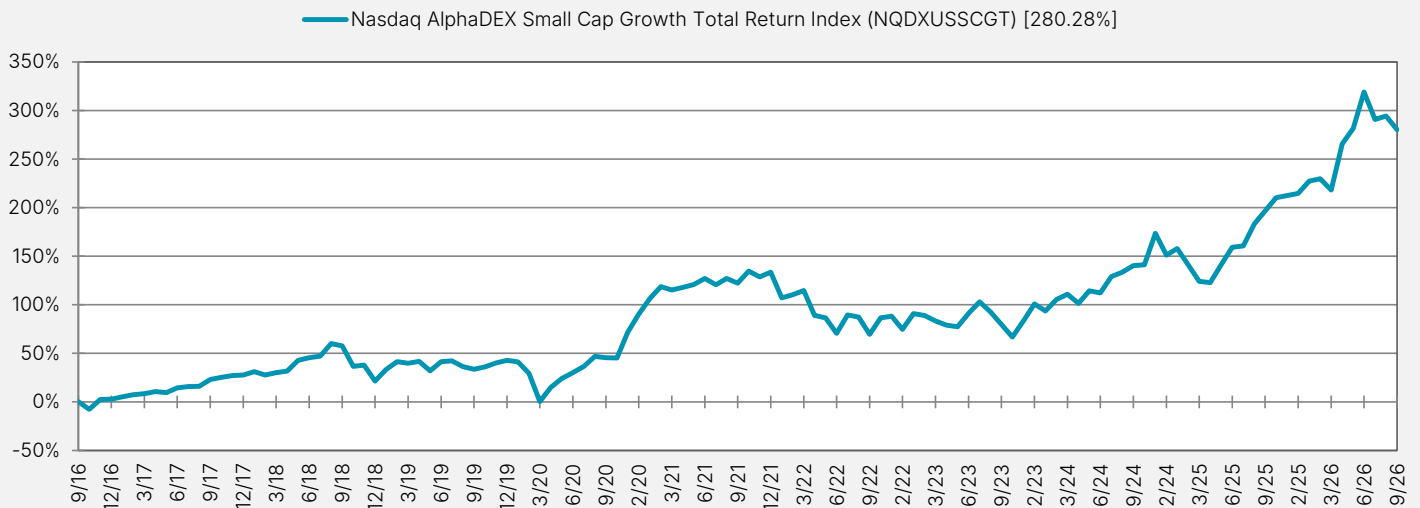
3-YEAR PERFORMANCE



5-YEAR PERFORMANCE



10-YEAR PERFORMANCE



All Information as of 09/30/2026.

## MONTHLY PERFORMANCE(TR Version)

|          |        |
|----------|--------|
| Sep 2026 | -3.54% |
| Aug 2026 | 0.90%  |
| Jul 2026 | -6.77% |
| Jun 2026 | 9.79%  |
| May 2026 | 4.35%  |
| Apr 2026 | 14.96% |
| Mar 2026 | -3.54% |
| Feb 2026 | 0.79%  |
| Jan 2026 | 3.99%  |
| Dec 2025 | 0.71%  |
| Nov 2025 | 0.73%  |
| Oct 2025 | 4.66%  |
| Sep 2025 | 4.59%  |
| Aug 2025 | 8.76%  |
| Jul 2025 | 0.48%  |
| Jun 2025 | 7.36%  |
| May 2025 | 8.42%  |
| Apr 2025 | -0.61% |
| Mar 2025 | -7.09% |
| Feb 2025 | -6.45% |
| Jan 2025 | 2.70%  |
| Dec 2024 | -8.20% |
| Nov 2024 | 13.46% |
| Oct 2024 | 0.35%  |
| Sep 2024 | 2.86%  |
| Aug 2024 | 2.01%  |
| Jul 2024 | 7.89%  |
| Jun 2024 | -0.99% |
| May 2024 | 6.37%  |
| Apr 2024 | -4.41% |
| Mar 2024 | 2.53%  |
| Feb 2024 | 6.19%  |
| Jan 2024 | -3.65% |
| Dec 2023 | 9.69%  |
| Nov 2023 | 9.73%  |
| Oct 2023 | -7.11% |
| Sep 2023 | -6.59% |
| Aug 2023 | -5.26% |
| Jul 2023 | 6.23%  |
| Jun 2023 | 7.75%  |

|          |         |
|----------|---------|
| May 2023 | -0.93%  |
| Apr 2023 | -2.24%  |
| Mar 2023 | -3.01%  |
| Feb 2023 | -0.99%  |
| Jan 2023 | 9.20%   |
| Dec 2022 | -7.16%  |
| Nov 2022 | 0.90%   |
| Oct 2022 | 9.96%   |
| Sep 2022 | -9.49%  |
| Aug 2022 | -1.09%  |
| Jul 2022 | 11.05%  |
| Jun 2022 | -8.58%  |
| May 2022 | -1.32%  |
| Apr 2022 | -11.87% |
| Mar 2022 | 1.96%   |
| Feb 2022 | 1.64%   |
| Jan 2022 | -11.36% |
| Dec 2021 | 2.11%   |
| Nov 2021 | -2.50%  |
| Oct 2021 | 5.56%   |
| Sep 2021 | -2.17%  |
| Aug 2021 | 2.95%   |
| Jul 2021 | -2.81%  |
| Jun 2021 | 2.79%   |
| May 2021 | 1.42%   |
| Apr 2021 | 1.22%   |
| Mar 2021 | -1.66%  |
| Feb 2021 | 6.10%   |
| Jan 2021 | 8.31%   |
| Dec 2020 | 10.86%  |
| Nov 2020 | 18.30%  |
| Oct 2020 | -0.20%  |
| Sep 2020 | -1.03%  |
| Aug 2020 | 7.62%   |
| Jul 2020 | 4.89%   |
| Jun 2020 | 4.84%   |
| May 2020 | 8.03%   |
| Apr 2020 | 14.56%  |
| Mar 2020 | -22.39% |
| Feb 2020 | -8.42%  |

|          |         |
|----------|---------|
| Jan 2020 | -1.19%  |
| Dec 2019 | 1.92%   |
| Nov 2019 | 2.98%   |
| Oct 2019 | 1.93%   |
| Sep 2019 | -2.03%  |
| Aug 2019 | -4.22%  |
| Jul 2019 | 0.67%   |
| Jun 2019 | 7.19%   |
| May 2019 | -6.95%  |
| Apr 2019 | 1.43%   |
| Mar 2019 | -1.12%  |
| Feb 2019 | 6.04%   |
| Jan 2019 | 9.80%   |
| Dec 2018 | -12.03% |
| Nov 2018 | 1.05%   |
| Oct 2018 | -13.31% |
| Sep 2018 | -1.57%  |
| Aug 2018 | 8.89%   |
| Jul 2018 | 0.96%   |
| Jun 2018 | 1.99%   |
| May 2018 | 8.34%   |
| Apr 2018 | 1.33%   |
| Mar 2018 | 1.89%   |
| Feb 2018 | -2.64%  |
| Jan 2018 | 2.64%   |
| Dec 2017 | 0.40%   |
| Nov 2017 | 1.69%   |
| Oct 2017 | 1.69%   |
| Sep 2017 | 6.12%   |
| Aug 2017 | 0.02%   |
| Jul 2017 | 1.28%   |
| Jun 2017 | 4.51%   |
| May 2017 | -1.07%  |
| Apr 2017 | 1.97%   |
| Mar 2017 | 0.97%   |
| Feb 2017 | 2.14%   |
| Jan 2017 | 2.34%   |
| Dec 2016 | 0.18%   |
| Nov 2016 | 11.11%  |
| Oct 2016 | -7.59%  |

All Information as of 09/30/2026.

## QUARTERLY PERFORMANCE(TR Version)

|         |         |
|---------|---------|
| Q3 2026 | -9.26%  |
| Q2 2026 | 31.71%  |
| Q1 2026 | 1.10%   |
| Q4 2025 | 6.17%   |
| Q3 2025 | 14.31%  |
| Q2 2025 | 15.69%  |
| Q1 2025 | -10.73% |
| Q4 2024 | 4.52%   |
| Q3 2024 | 13.20%  |
| Q2 2024 | 0.67%   |
| Q1 2024 | 4.89%   |
| Q4 2023 | 11.80%  |
| Q3 2023 | -5.99%  |
| Q2 2023 | 4.35%   |
| Q1 2023 | 4.86%   |
| Q4 2022 | 3.01%   |
| Q3 2022 | -0.57%  |
| Q2 2022 | -20.49% |
| Q1 2022 | -8.14%  |
| Q4 2021 | 5.09%   |
| Q3 2021 | -2.11%  |
| Q2 2021 | 5.51%   |
| Q1 2021 | 13.02%  |
| Q4 2020 | 30.89%  |
| Q3 2020 | 11.72%  |
| Q2 2020 | 29.75%  |
| Q1 2020 | -29.77% |
| Q4 2019 | 6.99%   |
| Q3 2019 | -5.54%  |
| Q2 2019 | 1.16%   |
| Q1 2019 | 15.12%  |
| Q4 2018 | -22.94% |
| Q3 2018 | 8.21%   |
| Q2 2018 | 11.96%  |
| Q1 2018 | 1.82%   |
| Q4 2017 | 3.82%   |
| Q3 2017 | 7.50%   |
| Q2 2017 | 5.43%   |
| Q1 2017 | 5.55%   |
| Q4 2016 | 2.86%   |

All Information as of 09/30/2026.

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Sources: Nasdaq Index Research. Bloomberg. FactSet.

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