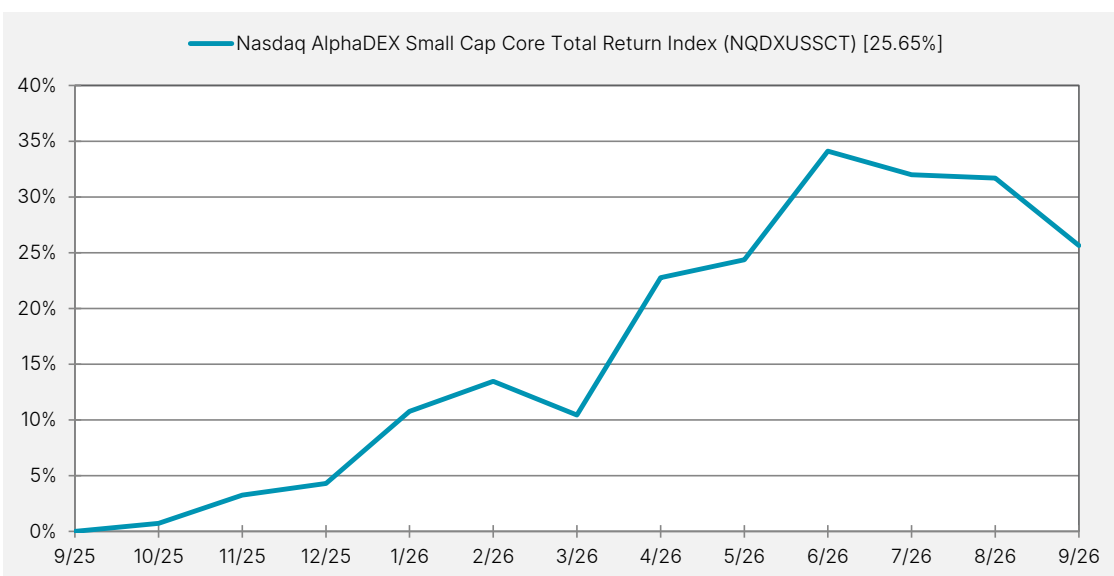


Nasdaq AlphaDEX Small Cap Core Index

The Nasdaq AlphaDEX® US Index Family employs the AlphaDEX® stock selection methodology to select stocks from the Nasdaq US Select Index Family and the Nasdaq US Select Style Index Family. The Nasdaq AlphaDEX® US Index Family is constructed by ranking the stocks in the Index families mentioned above separately on growth and value factors.

More information about the Index can be found at <https://indexes.nasdaq.com/Index/Overview/NQDXUSSC>

1-YEAR PERFORMANCE



QUICK FACTS

Securities: 524
Currency: USD
History: 03/30/2001
YTD Return: 20.47%

INDEX TICKERS

Price Return: NQDXUSSC
Total Return: NQDXUSSCT
Net Total Return: NQDXUSSCN

TOP 10 COMPONENTS BY WEIGHT

TICKER	NAME	WEIGHT
TXG	10X GENOMICS CMN A	0.64%
TWST	TWIST BIOSCIENCE CM	0.63%
EFOR	EVERFORTH INC.	0.62%
CBZ	CBIZ INC	0.57%
PBF	PBF ENERGY INC.	0.57%
LPG	DORIAN LPG LTD	0.55%
PGEN	PRECIGEN INC. CMN	0.51%
PARR	PAR PACIFIC HLDGS	0.49%
INSW	INT'L SEAWAYS INC.	0.48%
CNMD	C O N M E D CP	0.48%

INDUSTRY BREAKDOWN

INDUSTRY	WEIGHT	SECURITIES
Technology	9.50%	52
Telecommunications	1.57%	7
Health Care	15.74%	78
Financials	18.67%	104
Real Estate	5.76%	37
Consumer Discretionary	15.56%	85
Consumer Staples	4.22%	19
Industrials	16.65%	80
Basic Materials	2.79%	15
Energy	8.11%	39
Utilities	1.43%	8

PERFORMANCE(TR Version)

ANNUAL	
1 Year	25.65%
2 Year	11.49%
3 Year	25.91%
4 Year	13.36%
5 Year	-19.20%
6 Year	60.13%
7 Year	-1.96%
8 Year	-11.29%
9 Year	18.68%
10 Year	20.09%

CUMULATIVE	
1 Year	25.65%
2 Year	40.09%
3 Year	76.38%
4 Year	99.95%
5 Year	61.55%
6 Year	158.70%
7 Year	153.63%
8 Year	125.00%
9 Year	167.02%
10 Year	220.66%

CUMULATIVE ANNUALIZED	
1 Year	25.65%
2 Year	18.36%
3 Year	20.82%
4 Year	18.91%
5 Year	10.07%
6 Year	17.17%
7 Year	14.22%
8 Year	10.67%
9 Year	11.53%
10 Year	12.36%

ANNUALIZED VOLATILITY(TR Version)

ANNUAL	
1 Year	16.91%
2 Year	23.74%
3 Year	20.50%
4 Year	21.97%
5 Year	24.53%
6 Year	23.30%
7 Year	45.93%
8 Year	20.94%
9 Year	13.51%
10 Year	14.50%

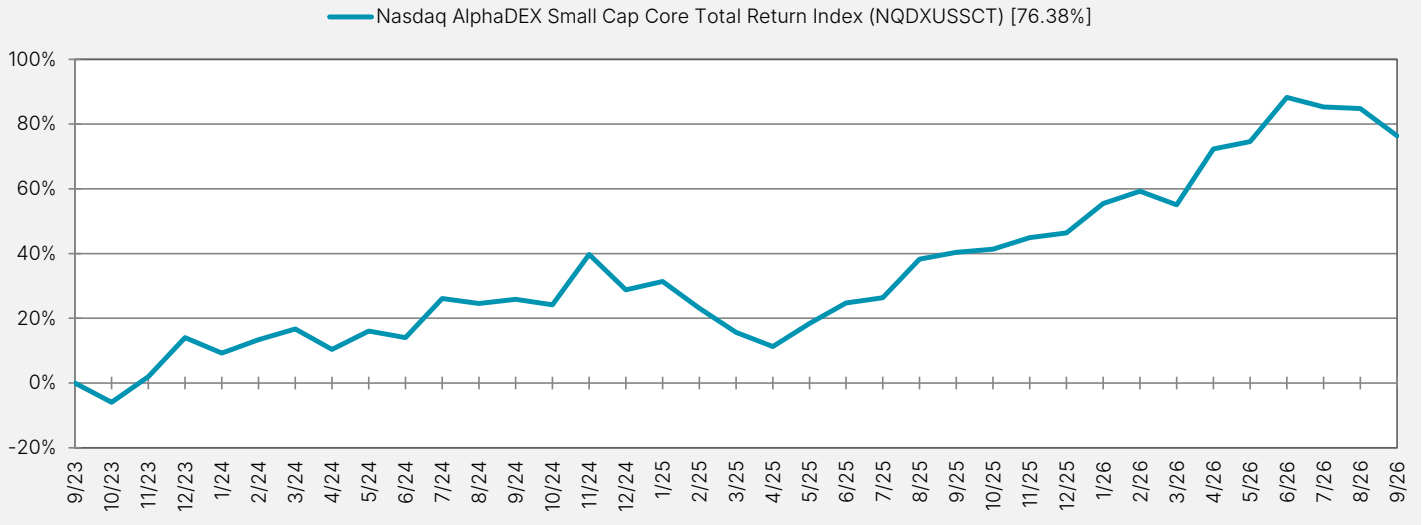
CUMULATIVE	
1 Year	16.91%
2 Year	20.59%
3 Year	20.55%
4 Year	20.90%
5 Year	21.69%
6 Year	21.98%
7 Year	26.76%
8 Year	26.10%
9 Year	25.02%
10 Year	24.17%

PERFORMANCE(TR Version)

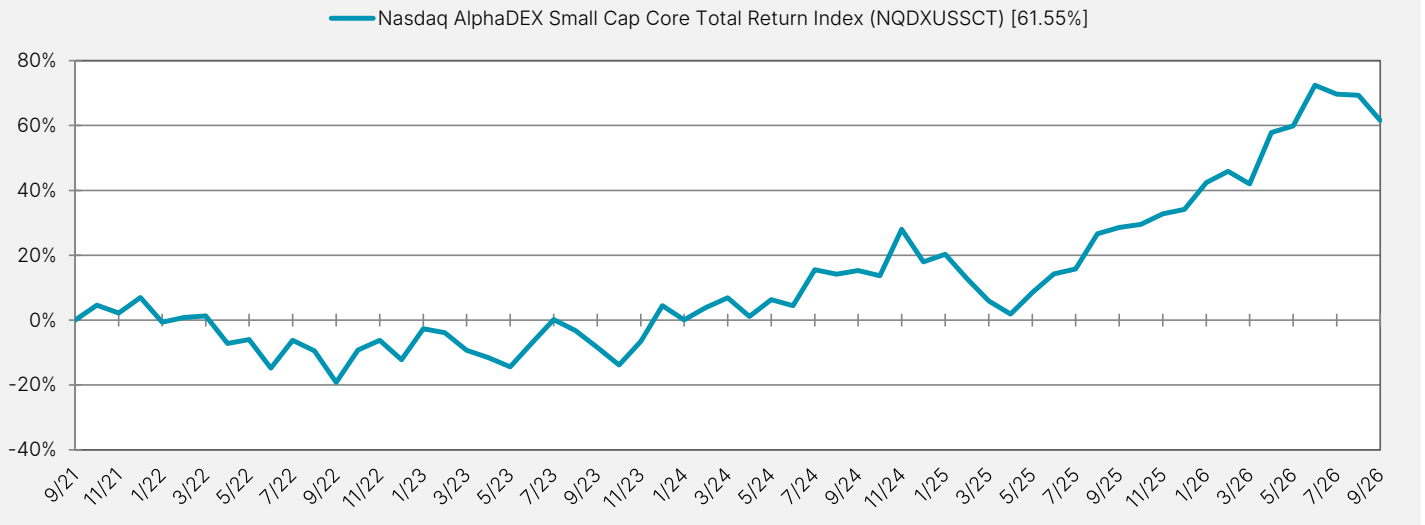
CALENDER YEAR	
2026	20.47%
2025	13.66%
2024	12.94%
2023	18.95%
2022	-17.90%
2021	28.33%
2020	20.15%
2019	21.90%
2018	-9.75%
2017	15.13%

All Information as of 09/30/2026.

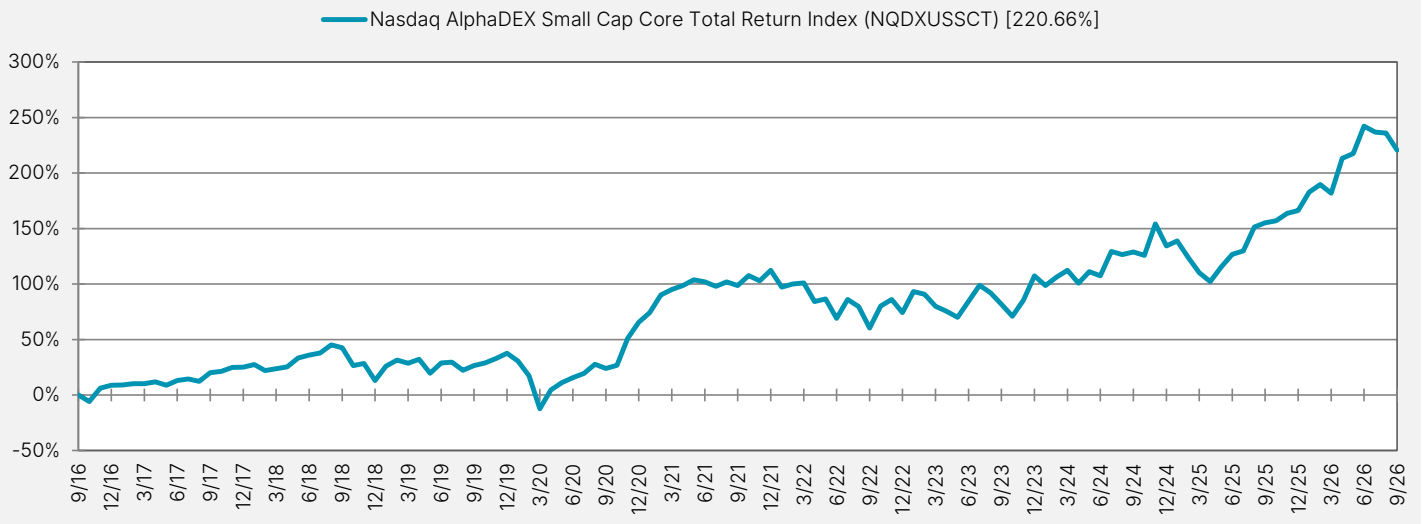
3-YEAR PERFORMANCE



5-YEAR PERFORMANCE



10-YEAR PERFORMANCE



All Information as of 09/30/2026.

MONTHLY PERFORMANCE(TR Version)

Sep 2026	-4.58%
Aug 2026	-0.23%
Jul 2026	-1.58%
Jun 2026	7.83%
May 2026	1.32%
Apr 2026	11.14%
Mar 2026	-2.66%
Feb 2026	2.43%
Jan 2026	6.20%
Dec 2025	1.01%
Nov 2025	2.53%
Oct 2025	0.72%
Sep 2025	1.51%
Aug 2025	9.42%
Jul 2025	1.34%
Jun 2025	5.29%
May 2025	6.48%
Apr 2025	-3.81%
Mar 2025	-6.06%
Feb 2025	-6.30%
Jan 2025	1.99%
Dec 2024	-7.81%
Nov 2024	12.56%
Oct 2024	-1.40%
Sep 2024	1.05%
Aug 2024	-1.20%
Jul 2024	10.60%
Jun 2024	-1.78%
May 2024	5.17%
Apr 2024	-5.43%
Mar 2024	2.92%
Feb 2024	3.82%
Jan 2024	-4.21%
Dec 2023	11.83%
Nov 2023	8.44%
Oct 2023	-5.95%
Sep 2023	-5.35%
Aug 2023	-3.42%
Jul 2023	7.80%
Jun 2023	8.57%

May 2023	-3.15%
Apr 2023	-2.51%
Mar 2023	-5.63%
Feb 2023	-1.27%
Jan 2023	10.82%
Dec 2022	-6.31%
Nov 2022	3.28%
Oct 2022	12.33%
Sep 2022	-10.75%
Aug 2022	-3.44%
Jul 2022	10.09%
Jun 2022	-9.39%
May 2022	1.30%
Apr 2022	-8.42%
Mar 2022	0.49%
Feb 2022	1.49%
Jan 2022	-7.14%
Dec 2021	4.71%
Nov 2021	-2.33%
Oct 2021	4.59%
Sep 2021	-1.69%
Aug 2021	2.08%
Jul 2021	-2.01%
Jun 2021	-0.96%
May 2021	2.63%
Apr 2021	1.83%
Mar 2021	2.60%
Feb 2021	9.14%
Jan 2021	5.27%
Dec 2020	9.43%
Nov 2020	19.29%
Oct 2020	2.25%
Sep 2020	-2.91%
Aug 2020	6.95%
Jul 2020	3.24%
Jun 2020	4.03%
May 2020	6.25%
Apr 2020	19.39%
Mar 2020	-25.32%
Feb 2020	-10.09%

Jan 2020	-5.23%
Dec 2019	3.68%
Nov 2019	3.14%
Oct 2019	1.85%
Sep 2019	3.34%
Aug 2019	-5.63%
Jul 2019	0.56%
Jun 2019	7.73%
May 2019	-9.49%
Apr 2019	2.88%
Mar 2019	-2.16%
Feb 2019	4.32%
Jan 2019	11.48%
Dec 2018	-11.98%
Nov 2018	1.43%
Oct 2018	-11.23%
Sep 2018	-1.83%
Aug 2018	5.36%
Jul 2018	1.37%
Jun 2018	1.88%
May 2018	6.39%
Apr 2018	1.47%
Mar 2018	1.31%
Feb 2018	-4.28%
Jan 2018	1.82%
Dec 2017	0.20%
Nov 2017	3.05%
Oct 2017	0.95%
Sep 2017	6.82%
Aug 2017	-1.73%
Jul 2017	1.16%
Jun 2017	3.94%
May 2017	-2.73%
Apr 2017	1.48%
Mar 2017	0.01%
Feb 2017	1.07%
Jan 2017	0.31%
Dec 2016	2.38%
Nov 2016	12.89%
Oct 2016	-5.94%

All Information as of 09/30/2026.

QUARTERLY PERFORMANCE(TR Version)

Q3 2026	-6.31%
Q2 2026	21.43%
Q1 2026	5.88%
Q4 2025	4.30%
Q3 2025	12.55%
Q2 2025	7.85%
Q1 2025	-10.23%
Q4 2024	2.31%
Q3 2024	10.43%
Q2 2024	-2.32%
Q1 2024	2.34%
Q4 2023	14.06%
Q3 2023	-1.46%
Q2 2023	2.51%
Q1 2023	3.25%
Q4 2022	8.70%
Q3 2022	-5.12%
Q2 2022	-15.94%
Q1 2022	-5.29%
Q4 2021	6.97%
Q3 2021	-1.67%
Q2 2021	3.50%
Q1 2021	17.88%
Q4 2020	33.48%
Q3 2020	7.19%
Q2 2020	31.96%
Q1 2020	-36.36%
Q4 2019	8.91%
Q3 2019	-1.93%
Q2 2019	0.31%
Q1 2019	13.78%
Q4 2018	-20.74%
Q3 2018	4.85%
Q2 2018	9.98%
Q1 2018	-1.26%
Q4 2017	4.22%
Q3 2017	6.18%
Q2 2017	2.61%
Q1 2017	1.39%
Q4 2016	8.71%

All Information as of 09/30/2026.

All Information as of 09/30/2026.

Sources: Nasdaq Index Research. Bloomberg. FactSet.

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