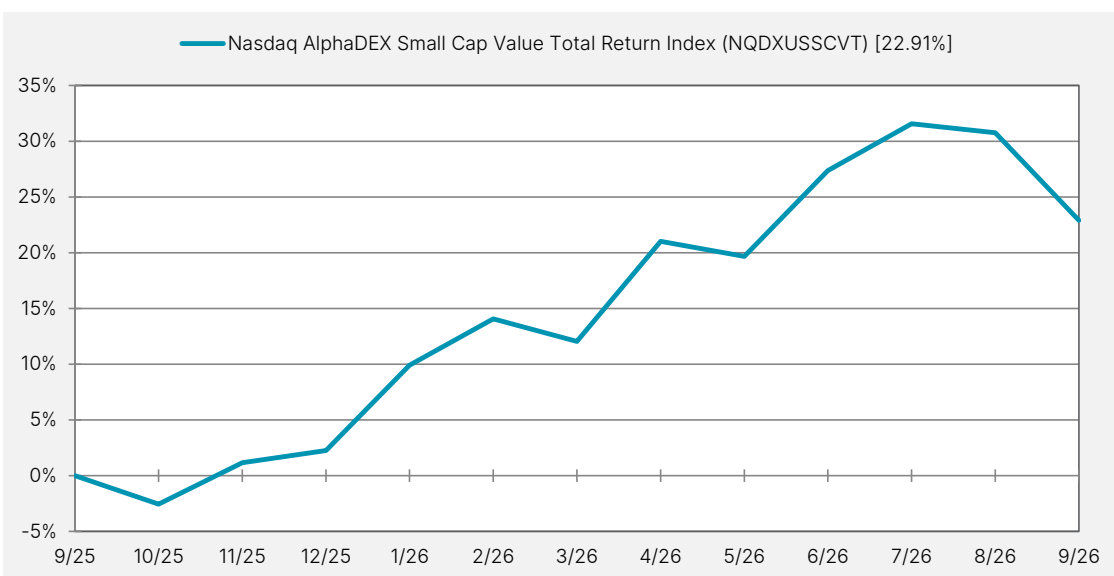


Nasdaq AlphaDEX Small Cap Value Index

The Nasdaq AlphaDEX® US Index Family employs the AlphaDEX® stock selection methodology to select stocks from the Nasdaq US Select Index Family and the Nasdaq US Select Style Index Family. The Nasdaq AlphaDEX® US Index Family is constructed by ranking the stocks in the Index families mentioned above separately on growth and value factors.

More information about the Index can be found at <https://indexes.nasdaq.com/Index/Overview/NQDXUSSCV>

1-YEAR PERFORMANCE



QUICK FACTS

Securities: 262
Currency: USD
History: 03/30/2001
YTD Return: 20.19%

INDEX TICKERS

Price Return: NQDXUSSCV
Total Return: NQDXUSSCVT
Net Total Return: NQDXUSSCVN

TOP 10 COMPONENTS BY WEIGHT

TICKER	NAME	WEIGHT
EFOR	EVERFORTH INC.	1.22%
CBZ	CBIZ INC	1.13%
PBF	PBF ENERGY INC.	1.12%
LPG	DORIAN LPG LTD	1.07%
PARR	PAR PACIFIC HLDGS	0.96%
INSW	INT'L SEAWAYS INC.	0.95%
CNMD	C O N M E D CP	0.94%
GTM	ZOOMINFO TECH CM	0.86%
AMPH	AMPHASTAR PHARMA CMN	0.85%
DXC	DXC TECHNOLOGY CO.	0.81%

INDUSTRY BREAKDOWN

INDUSTRY	WEIGHT	SECURITIES
Technology	7.14%	20
Telecommunications	1.64%	5
Health Care	6.49%	15
Financials	23.02%	74
Real Estate	6.59%	20
Consumer Discretionary	16.80%	41
Consumer Staples	5.31%	12
Industrials	17.13%	42
Basic Materials	3.29%	7
Energy	10.29%	20
Utilities	2.29%	6

All Information as of 09/30/2026.

PERFORMANCE(TR Version)

ANNUAL	
1 Year	22.91%
2 Year	2.79%
3 Year	21.27%
4 Year	19.06%
5 Year	-17.27%
6 Year	65.34%
7 Year	-9.08%
8 Year	-8.70%
9 Year	11.27%
10 Year	17.74%

CUMULATIVE	
1 Year	22.91%
2 Year	26.33%
3 Year	53.20%
4 Year	82.39%
5 Year	50.89%
6 Year	149.47%
7 Year	126.83%
8 Year	107.09%
9 Year	130.43%
10 Year	171.32%

CUMULATIVE ANNUALIZED	
1 Year	22.91%
2 Year	12.40%
3 Year	15.28%
4 Year	16.21%
5 Year	8.57%
6 Year	16.46%
7 Year	12.41%
8 Year	9.53%
9 Year	9.72%
10 Year	10.50%

ANNUALIZED VOLATILITY(TR Version)

ANNUAL	
1 Year	16.33%
2 Year	25.34%
3 Year	21.51%
4 Year	23.97%
5 Year	23.63%
6 Year	26.26%
7 Year	52.33%
8 Year	21.66%
9 Year	13.56%
10 Year	14.76%

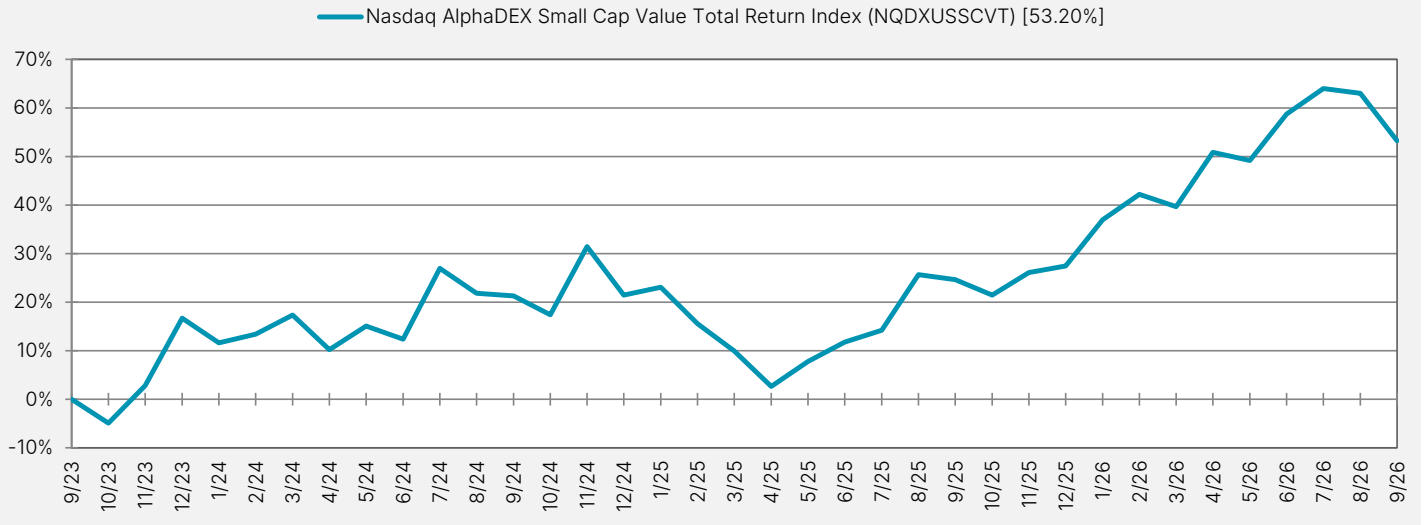
CUMULATIVE	
1 Year	16.33%
2 Year	21.29%
3 Year	21.35%
4 Year	22.02%
5 Year	22.36%
6 Year	23.07%
7 Year	29.13%
8 Year	28.30%
9 Year	27.06%
10 Year	26.09%

PERFORMANCE(TR Version)

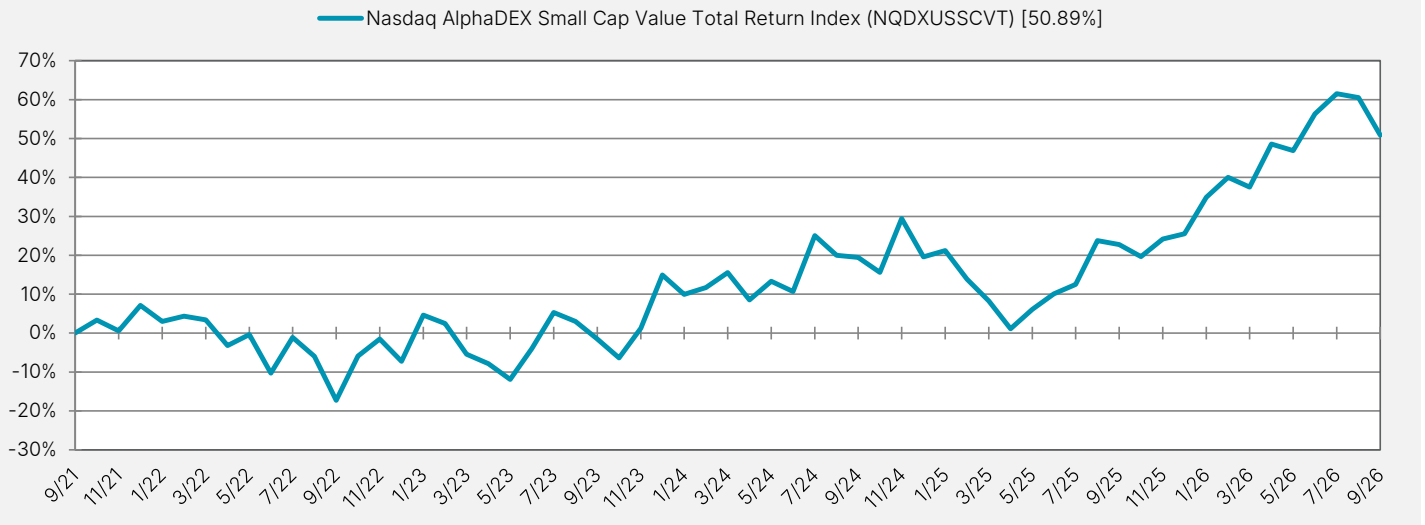
CALENDER YEAR	
2026	20.19%
2025	4.96%
2024	4.07%
2023	23.96%
2022	-13.41%
2021	30.53%
2020	10.92%
2019	25.85%
2018	-13.98%
2017	7.98%

All Information as of 09/30/2026.

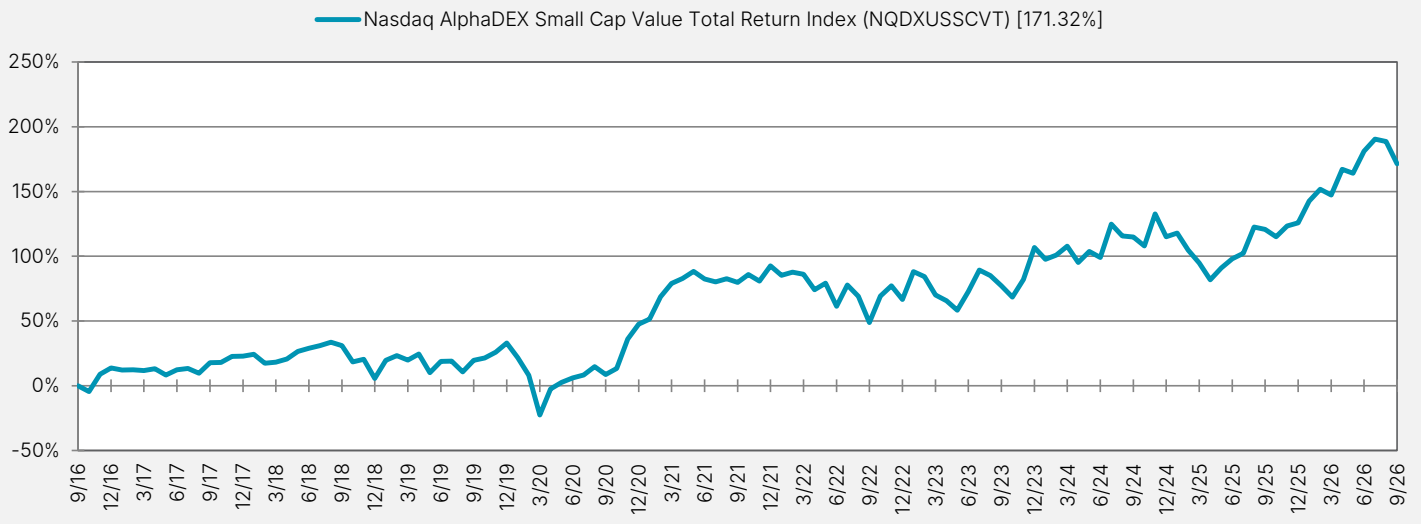
3-YEAR PERFORMANCE



5-YEAR PERFORMANCE



10-YEAR PERFORMANCE



All Information as of 09/30/2026.

MONTHLY PERFORMANCE(TR Version)

Sep 2026	-6.00%
Aug 2026	-0.61%
Jul 2026	3.30%
Jun 2026	6.44%
May 2026	-1.12%
Apr 2026	8.01%
Mar 2026	-1.78%
Feb 2026	3.80%
Jan 2026	7.46%
Dec 2025	1.09%
Nov 2025	3.81%
Oct 2025	-2.56%
Sep 2025	-0.81%
Aug 2025	10.03%
Jul 2025	2.18%
Jun 2025	3.72%
May 2025	4.97%
Apr 2025	-6.63%
Mar 2025	-4.87%
Feb 2025	-6.10%
Jan 2025	1.35%
Dec 2024	-7.60%
Nov 2024	11.96%
Oct 2024	-3.19%
Sep 2024	-0.45%
Aug 2024	-4.05%
Jul 2024	12.96%
Jun 2024	-2.32%
May 2024	4.42%
Apr 2024	-6.08%
Mar 2024	3.47%
Feb 2024	1.60%
Jan 2024	-4.37%
Dec 2023	13.49%
Nov 2023	8.15%
Oct 2023	-4.92%
Sep 2023	-4.35%
Aug 2023	-2.22%
Jul 2023	9.66%
Jun 2023	9.01%

May 2023	-4.37%
Apr 2023	-2.59%
Mar 2023	-7.67%
Feb 2023	-2.12%
Jan 2023	12.87%
Dec 2022	-5.85%
Nov 2022	4.66%
Oct 2022	13.74%
Sep 2022	-12.00%
Aug 2022	-4.97%
Jul 2022	10.29%
Jun 2022	-9.99%
May 2022	2.92%
Apr 2022	-6.39%
Mar 2022	-0.90%
Feb 2022	1.33%
Jan 2022	-3.80%
Dec 2021	6.44%
Nov 2021	-2.66%
Oct 2021	3.35%
Sep 2021	-1.52%
Aug 2021	1.28%
Jul 2021	-1.13%
Jun 2021	-3.18%
May 2021	2.97%
Apr 2021	2.23%
Mar 2021	6.11%
Feb 2021	11.29%
Jan 2021	2.71%
Dec 2020	8.47%
Nov 2020	20.08%
Oct 2020	4.13%
Sep 2020	-5.27%
Aug 2020	6.03%
Jul 2020	2.01%
Jun 2020	3.50%
May 2020	5.23%
Apr 2020	25.89%
Mar 2020	-28.41%
Feb 2020	-11.11%

Jan 2020	-8.52%
Dec 2019	5.62%
Nov 2019	3.67%
Oct 2019	1.54%
Sep 2019	8.01%
Aug 2019	-7.01%
Jul 2019	0.26%
Jun 2019	7.91%
May 2019	-11.64%
Apr 2019	3.89%
Mar 2019	-2.79%
Feb 2019	3.18%
Jan 2019	13.14%
Dec 2018	-12.28%
Nov 2018	1.76%
Oct 2018	-9.65%
Sep 2018	-1.86%
Aug 2018	1.98%
Jul 2018	1.54%
Jun 2018	1.96%
May 2018	4.82%
Apr 2018	1.99%
Mar 2018	0.72%
Feb 2018	-5.46%
Jan 2018	1.11%
Dec 2017	0.09%
Nov 2017	4.08%
Oct 2017	0.15%
Sep 2017	7.44%
Aug 2017	-3.24%
Jul 2017	0.83%
Jun 2017	3.72%
May 2017	-4.33%
Apr 2017	1.25%
Mar 2017	-0.49%
Feb 2017	0.19%
Jan 2017	-1.43%
Dec 2016	4.44%
Nov 2016	14.12%
Oct 2016	-4.55%

All Information as of 09/30/2026.

QUARTERLY PERFORMANCE(TR Version)

Q3 2026	-3.50%
Q2 2026	13.68%
Q1 2026	9.56%
Q4 2025	2.26%
Q3 2025	11.52%
Q2 2025	1.66%
Q1 2025	-9.47%
Q4 2024	0.15%
Q3 2024	7.90%
Q2 2024	-4.20%
Q1 2024	0.53%
Q4 2023	16.70%
Q3 2023	2.56%
Q2 2023	1.55%
Q1 2023	2.00%
Q4 2022	12.08%
Q3 2022	-7.76%
Q2 2022	-13.29%
Q1 2022	-3.40%
Q4 2021	7.08%
Q3 2021	-1.39%
Q2 2021	1.92%
Q1 2021	21.29%
Q4 2020	35.63%
Q3 2020	2.47%
Q2 2020	37.10%
Q1 2020	-41.78%
Q4 2019	11.17%
Q3 2019	0.70%
Q2 2019	-0.93%
Q1 2019	13.47%
Q4 2018	-19.35%
Q3 2018	1.62%
Q2 2018	9.00%
Q1 2018	-3.72%
Q4 2017	4.33%
Q3 2017	4.82%
Q2 2017	0.47%
Q1 2017	-1.73%
Q4 2016	13.77%

All Information as of 09/30/2026.

All Information as of 09/30/2026.

Sources: Nasdaq Index Research. Bloomberg. FactSet.

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